U.S. Department of Justice

Washington, DC 20530

#### **Supplemental Statement**

Pursuant to the Foreign Agents Registration Act of 1938, as amended

-	For Six Month	Period Ending 4		· .		
			(Insert date)	, ,		
	., -	I - REGISTRA	NŤ			
1. (a) Name of Registrant		(b) Registra	tion No.			-
Global America Business Institu	te	6067				,
(c) Business Address(es) of Registra	ınt					
1001 Connecticut Avenue, NW Suite 435						
Washington, DC 20036					,	
2. Has there been a change in the inform	nation previously	furnished in con	nection with	the following?		
(a) If an individual:				_		
(1) Residence address(es)	Yes 🗌	No 🗆		•		
(2) Citizenship	Yes 🗌	No 🗌				
(3) Occupation	Yes 🗌	No 🗆				
(b) If an organization:	•					
(1) Name	Yes 🗍	No 🗵				
(2) Ownership or control	Yes □	No 🗵				
(3) Branch offices	Yes □	No 🗌				
(c) Explain fully all changes, if any	, indicated in Iter	ms (a) and (b) abo	ve.	•		
				•		
	•					
		•				
	*	•				
•			•			
IF THE REGISTRA!	NT IS AN INDIX	VIDUAL, OMIT	RESPONS	E TO ITEMS 3	, 4, AND 5(a).	
3. If you have previously filed Exhibit (						ting period
Yes \( \square\) No \( \square\)	e, state whether	any changes mere	in nave occi	arrea adming time	o nionai repon	ning period;
If yes, have you filed an amendment	to the Exhibit C?	Yes 🗌	No ⊠	1		
If no, please attach the required amer		. 49 []	140 🖭	•		
ir no, prease attach the required amer	idilielit.	•			•	

<sup>1</sup> The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, National Security Division, U.S. Department of Justice, Washington, DC 20530.)

(PAGE 2)

If yes, furnish the follow					
	ing information:				•
Name	•	Positio	on	Date Con	nection Ended
•	•				
	· · · · · · · · · · · · · · · · · · ·	* *			
				. *	
b) Have any persons becom Yes □	e partners, officers, directors or No ⊠	similar officia	ls during this 6 mor	nth reporting period	?
If yes, furnish the follow	ing information:		•		
Name	Residence Address	(	Citizenship	Position	Date Assumed
			-		
		٠	•		
	·				
a) Han in a reason named in	Itam A(k) handanid annihara din	antler in Greek as			:10
a) Has any person named in Yes □	Item 4(b) rendered services dir No ⊠	ectly in further	ance of the interest	s of any foreign pri	ncipai?
	n person and describe the service	e rendered			
ir yes, identify eden such	person and describe the service	rendered.			
	•				
Name	Residence Address	. (	Citizenship	Position	Date Assumed
•					
•				•	
		•			
	ndividuals, who have filed a sho trant during this 6 month report			minated their emplo	syment or
If yes, furnish the follow	ing information:	· .			
Name	,	Position or Co	onnection	Da	te Terminated
•	•		•		
	•				
d) Have any employees or in principal during this 6 mo	adividuals, who have filed a sho onth reporting period? Yes		ation statement, terr o 🗵	minated their conne	ction with any foreig
If yes, furnish the followi	ng information:		•		
	Position or Connection		Foreign Principal	Dat	e Terminated
•	r osition of Connection		r oreign r interpar	Dai	e reminated
Name					
•	•	•	-		
•					
•					

(PAGE 3)

#### II - FOREIGN PRINCIPAL

7. Has your connection with If yes, furnish the following		al ended during t	his 6 month reporting	g period? Yes	No ⊠
Foreign Principal				Date of Ten	mination
					•
				,	
8. Have you acquired any no If yes, furnish th followin		s) <sup>2</sup> during this 6	month reporting peri	od? Yes [	] No ⊠
Name and Address of For	eign Principal(s)			Date Acq	uired
		*			
In addition to those name reporting period.	d in Items 7 and 8, if	any, list foreign	principal(s) <sup>2</sup> whom y	ou continued to re	present during the 6 mont
		•	•		
• .					,
10. (a) Have you filed exhib Exhibit A <sup>3</sup>	its for the newly acqu	uired foreign pri	ncipal(s), if any, listed	d in Item 8?	
Exhibit B <sup>4</sup>	Yes □	No ⊠		•	
If no, please attach th	•	110			
(b) Have there been any	_	-	•		iom you
represented during th If yes, have you filed			Yes □ Yes □	No ⊠ No ⊠	
			i è i	TAIN TO	
If no, please attach th	e required amendme	nτ.	•	•	

<sup>2</sup> The term "foreign principal" includes, in addition to those defined in Section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9)). A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

<sup>3</sup> The Exhibit A, which is filed on Form NSD-3, sets forth the information required to be disclosed concerning each foreign principal.

<sup>4</sup> The Exhibit B, which is filed on Form NSD-4, sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

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#### **III - ACTIVITIES**

<u>ļ</u> 1.		n reporting period, have you, or 9 of this statement?	u engaged in any activities for or Yes ⊠ No		y foreign principal
	If yes, identify each	foreign principal and desc	ribe in full detail your activities a	nd services:	
	Send separately.				
		•			• 1
			· · · · · · · · · · · · · · · · · · ·		
				•	•
		•			
					•
	•	•		•	•
	•		•		
12.	During this 6 month	reporting period, have you	u on behalf of any foreign princip	al engaged in political activ	vity <sup>5</sup> as defined below
	Yes 🗀	No ⊠			
		or delivered speeches, lec	e influenced and the means emplo tures or radio and TV broadcasts,		
				•	
	•	•	·		
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13.	In addition to the ab- foreign principal(s)?		any, have you engaged in activity No ⊠	on your own behalf which	benefits your
	If you docamba fully	,	·		
	If yes, describe fully	· ·		•	
			· .		
			·		
			• •		
				•	

<sup>5 &</sup>quot;Political activity," as defined in Section 1(o) of the Act, means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

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#### IV - FINANCIAL INFORMATION

		pensation or otherwise?	Yes ⊠	No □	oui, uity voimitou	tions, income or
	If no, explain why.					
,		v		•		
	*					
	If yes, set forth below	v in the required detail an	d separately for each	foreign principal	an account of su	ch monies.6
	Date	From Whom	•	Purpose		Amount
	12/5/2013	KAERI	Per Contract	•	\$9	90,000
	3/17/2014	KAERI	Per Contract	•	\$5	0,000
	·	•	•		٠.	
		•			•	
	•					
					<u>.</u>	140,000
			•			Total
(b)	During this 6 month t	PRAISING CAMPAIGN reporting period, have you need in Items 7, 8, or 9 of t	ı received, as part of	<del>-</del>	npaign <sup>7</sup> , any mon No ⊠	ney on behalf of any
(b)	During this 6 month to foreign principal name	reporting period, have you ned in Items 7, 8, or 9 of t	received, as part of his statement?	Yes 🗆	No ⊠	ney on behalf of any
(b)	During this 6 month to foreign principal name	reporting period, have you	received, as part of his statement?	<del>-</del>		ney on behalf of any
(b)	During this 6 month of foreign principal name of yes, have you filed	reporting period, have you ned in Items 7, 8, or 9 of t	received, as part of his statement?	Yes 🗆	No ⊠	ney on behalf of any
	During this 6 month of foreign principal name of the foreign princ	reporting period, have you ned in Items 7, 8, or 9 of to an Exhibit D <sup>8</sup> to your regute the Exhibit D was filed	received, as part of his statement? istration?  Date received any thing of	Yes ☐ Yes ☐  Yes ☐  of value other that	No ⊠ No ⊠ no money from a	ny foreign principa
(b)	During this 6 month of foreign principal name.  If yes, have you filed.  If yes, indicate the date.  RECEIPTS-THING. During this 6 month of named in Items 7, 8, 6.	reporting period, have you ned in Items 7, 8, or 9 of t an Exhibit D <sup>8</sup> to your reg te the Exhibit D was filed as OF VALUE reporting period, have you or 9 of this statement, or t No	received, as part of his statement? istration?  Date received any thing of	Yes ☐ Yes ☐  Yes ☐  of value other that	No ⊠ No ⊠ no money from a	ny foreign principa

<sup>6, 7</sup> A registrant is required to file an Exhibit D if he collects or receives contributions, loans, moneys, or other things of value for a foreign principal, as part of a fundraising campaign. (See Rule 201(e)).

<sup>8</sup> An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fundraising campaign and transmitted for a foreign principal.

<sup>9</sup> Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

Total

<u> </u>	Par Second	DUDGES	AUDÓ PO	onimo									
5. (a)		BURSEME ing this 6 m			have vo							* .	
	(1)	disbursed o	r expende	ed monies in	nave you n connecti	on with a	tivity on	behalf	of any foreign p	orincipal na	med ii	n Items 7, 8	, or
	, ,	9 of this sta				o 🗵							
	(2)	transmitted	monies t	o any such	foreign pr	incipal?	Yes	i 🗆	No 🗵				
. •	Ífn	o, explain in	full detai	il why there	were no o	lisbursem	ents made	on bel	half of any forei	gn principa	d.	•	
			÷						· · · · · · · · · · · · · · · · · · ·				
	lf ye	es, set forth l	below in t	the required	detail and	d separate	lý for each	foreig	gn principal an a	account of s	such m	onies, inclu	ıdinį
			ieu, ii air		Whom	страт.			Ďerinis a ses			Ä	••
	Date	e ·		10	WHOIH				Purpose			Ämount	
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(PAGE 7)

	, —	lo ⊠ ·	•		
If yes, furnish	the following informat	ion:			
Date	Recipient	Foreign P	rincipal	Thing of Value	Purpose
: :					
					•
			•		
					*
		•	•		
	,			•	
	•				•
		*		•	•
During this 6 other person,	MENTS-POLITICAL ( month reporting period, made any contributions onnection with any prim	have you from yo of money or other	our own funds and things of value	in connection with an	ither directly or through election to any political es for political office?
	Yes 🗆 N	o 🗵			
If yes, furnish	the following informati	on:	•		

<sup>10, 11</sup> Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

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#### V-INFORMATIONAL MATERIALS

16. (a) During this 6 month reporting period, d  Yes  No	id you prepare, disseminate or cause to	be disseminated any informational materials?
If Yes, go to Item 17.		•
(b) If you answered No to Item 16(a), do yo	ur disseminate any material in connecti	on with your registration?
Yes \( \Boxed{100}\) No \( \Boxed{100}\)	d disseminate any material in connecti	on with your registration:
If Yes, please forward the materials dissemi	nated during the six month period to the	ne Registration Unit for review.
17. Identify each such foreign principal.	<del></del>	
KAERI		
		÷
18. During this 6 month reporting period, has an	ny foreign principal established a huda	et or allocated a specified sum of money to
finance your activities in preparing or disser		Yes □ No ⊠
If yes, identify each such foreign principal,	specify amount, and indicate for what	period of time.
<b>%</b> .		
:		
19. During this 6 month reporting period, did you materials include the use of any of the follow		g or causing the dissemination of informationa
☐ Radio or TV broadcasts ☐ Magazine o	<u> </u>	<sup>27</sup> ☐ Letters or telegrams
☐ Advertising campaigns ☐ Press releas		ublications 🖾 Lectures or speeches
Other (specify) Conference, Workshop, Ser	ninar	•
Electronic Communications	. ,	
☐ Email		
tininuthogabi com		
☐ Social media websites URL(s):		•
Other (specify)		
20. During this 6 month reporting period, did yo		
the following groups:		
☐ Public officials	☐ Newspapers	⊠ Libraries —
☐ Legislators	☐ Editors	☑ Educational institutions
☑ Government agencies	☑ Civic groups or associations	☐ Nationality groups
Other (specify)	· · · · · · · · · · · · · · · · · · ·	
21. What language was used in the informational	ll materials:	
⊠ English	Other (specify)	
22. Did you file with the Registration Unit, U.S. disseminated or caused to be disseminated d		item of such informational materials  Yes ⊠ No □
23. Did you label each item of such information Yes ⊠ No □	al materials with the statement required	1 by Section 4(b) of the Act?

<sup>12</sup> The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.

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In accordance with 28 U.S.C. § 1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature)		(Print or type name	under each signature or provide	electronic signature 13
September 15, 2014	· • . • •	/s/ Florence	e Lowe-Lee	eSigned
	Ξ '			· · · · · · · · · · · · · · · · · · ·
	<del>-</del>			

<sup>13</sup> This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to except this statement on its behalf.

#### Florence Lowe-Lee

#### President

Supplemental Period: 11/01/2013 to 04/30/2014

B=Breakfast; L=lunch; D=Dinner; M=Meeting; C=Conference; R=Reception; P=Program

November, 2	2013
5—7	C = GABI-FAS-Mansfield Foundation Trilateral Nuclear Energy Dialogue in Korea (see attached agenda)
12	L = Keun-yul Sun, Seoul National University, discussed potential collaborate workshop with GABI & SNU
	M = David Bank, CSIS
13	L = Jungmin Kang, Korea Advanced Institute for Science and Technology
26	M = Walter Howes & Andrew Patterson, Verigrid Capitol
December, 2	013
3	P = Cyber Conflict on the Korean Peninsular, sponsored by Korea Economic Institute
4	L = Tim Frazier, Bipartisan Council
5	C = Hot Button Issue in International Nuclear Trade Investment, Sponsored by Pillsubry, Winthrop, LLP & Nuclear Energy Institute
	R = Holiday Reception, Sponsored by Kia Automobile
6	L = Young-jin Jang, Commercial Counsellor, Korean Embassy
9	M = Philipp Brown, Congressional Research Services
11	C = Korea and the US: 60 Years of Partnership, Sponsored by Asan Institute
	C = Iran Nuclear Deal & Its Implication to DPRK, Sponsored by CSIS
12	P = US and International Waste management Efforts: Statues and Prospects, Sponsored by GABI-Mansfield Foundation
	P = Key Policy Issue for US Nuclear Cooperation: Ensuring a Safe, Secure & Competitive Global Nuclear Industry, Sponsored by Atlantic Council

R = Holiday Reception, Sponsored by Potomac Communication

13	L = Kang Choi, Asan Institute
17 19	M = Yong-soo Hwang, KAERI R = Holiday Reception, Sponsored by IMF & World Bank Korean Staff
23	L = Bokhwan Yu, Executive Director, World Bank
27	L = Walter Howes, Vertigris Capital
January,	2014
6	M = II-hyung Lee, Korea Institute for International Economic Policy
7	L = Hyun-dong Cho, Political Minister, Korean Embassy
8	C = Cesium Chloride Workshop, Center for Nonproliferation Studies
12	L = Celebration of Korean American Day, Sponsored by Korea Economic Institute
15	M = Scott Burton, Hunton & William, LLP
16	L = Jae Ku, US-Korea Institute, Johns Hopkins University
17	P = An Overview of Nuclear Nonproliferation & National Security, Sponsored by Federation of American Scientists
21	C = What Will 2014 Bring to North Korea's Nuclear Program, Sponsored by Carnegie Endowment for International Studies
22	D = Jungmin Kang of KAIST & Onil Heinon or Harvard University
23	P = The Future of Commercial Uranium Enrichment and Multilateral Enrichment Facilities, Sponsored by GABI
24	L = David Bless, Nuclear Infrastructure Council
31	P = Developing and Financing Nuclear Power Plant: Thoughts on Reputational Risk, Sponsored by GABI
February,	2014
20	L = Kwang-seok Lee, KAERI
21	P = Unpredictable Market: Impact on US & Korea, Sponsored by Korea Economic Institute
	M = Abraham Kim, Mansfield Center, University of Montana
24	L = Jack Spencer, Heritage Foundation

26	P = US & International Nuclear Energy: The role of Nuclear in a Diverse Energy Portfolio, Sponsored by GABI- KEIA
March, 2014	
18	P = Technical Workshop: An In-Depth Look at Spent Nuclear Fuel Storage, Sponsored by GABI- USNIC in Korea (see attached agenda)
26	P = US Capabilities and Self-Sufficiency in the Front End, Sponsored by GABI-KAIST
28	L = Chan Pak, US Enrichment Corporation
31	M = Donald Manzullo, Korea Economic Institute
April, 2014	
2	P = Creating a Legacy for the Nuclear Security Summit, Sponsored by CSIS
4 .	L = Charles Ferguson, FAS
8	P = Perspective on Japanese Politics & the Future of the US-Korea Alliance, Sponsored by Brookings Institutions
10	P = The Future of US-ROK Civil Nuclear Cooperation: Possible Directions and Mutual Interest, Sponsored by GABI
17	D = Scott Kemp, MIT
18	P = Development in Uranium Enrichment Technologies: commercial and Nonproliferation Implications, Sponsored by GABI
22	R = Garwin Witness to History, Sponsored by FAS
24	L = Sejoon Ahn, Korean Embassy
28	L = Tomas Graham, Light Bridge Corp.
	P = An Assessment of President Obama's Trip to Korea & Japan, KEI
29	P = Accelerator Driven Subcritical Reactors for Improved Safety, Waste Management, and Plutonium Disposition
	$\cdot$

# Received by NSD/FARA Registration Unit 08/26/2014 5:37:34 AM Global America Business Institute Budget Report

(11/1/13 -04/30/14)

Allotment from KAERI	\$	140,000.00
Staff Cost	\$	29,405.00
Health Insurance	\$	2,190.00
Salary	\$	27,215.00
On any time I formance	<b>.</b>	20 200 46
Operational Expense	\$	39,399.18
Equipment Purchases	\$ .\$ \$	-
Office Supplies (printing, postage, etc)	. <b>\$</b>	267.00
Rent	<b>\$</b>	28,777.00
Representation	\$	2,479.00
Services & Fees		
Accounting	\$	2,500.00
Legal	\$ \$ \$	-
D.C. Registration Fee	\$	167.00
FARA	\$	305.00
Telephone		
Equipments	\$	650.00
Comcast	\$	788.34
WorldSmart	\$ \$	1,665.84
Webdesign & Maintanace	\$	1,800.00
Program Expenses	\$	62,607.00
Conference/Seminar Expense	\$	15,690.00
Honoraria	\$	21,500.00
Consulting Fee	\$	2,000.00
Lodging	\$	9,870.00
Office Supplies (printing, postage, etc)	\$	789.00
Membership		
Representation	\$	4,250.00
Subscription/Advertisement	\$	-
Temporary Support	\$	4,000.00
Travel Expense	\$	4,508.00
Publications	\$	1,338.00
Podcast Publishing	\$	435.00
Smart Card		
Desinger	\$	500.00
Printing	\$	403.00
Total Spent	\$	132,749.18

addressing energy security issues, and facilitating economic growth. The US is currently the largest producer of nuclear energy in the world, but other countries are aggressive pursuing nuclear power programs to address their growing energy needs.

#### **Opening Remarks**

The Honorable Lee Terry (NE-2nd) - Chairman, Subcommittee on Commerce, Manufacturing and Trade and Member of the Energy & Power Subcommittee of the House Energy and Commerce Committee

#### **Speakers**

**Dr. Peter Lyons** - Assistant Secretary for Nuclear Energy, US Department of Energy (DOE)

**Dr. Gail Marcus** - former Deputy Director-General of the OECD Nuclear Energy Agency (NEA), former Principal Deputy Director of the DOE Office of Nuclear Energy, former President of the American Nuclear Society (ANS)

#### \*\*\*RSVP Information\*\*\*

To RSVP, please contact Alan Ahn, Director of Programs and Communications for GABI, at <a href="mailto:alanahn@thegabi.com">alanahn@thegabi.com</a>

#### **INTRODUCTORY REMARKS**

Representative Lee Terry has served as a member of the US House of Representatives for Nebraska's 2nd congressional district since 1999. He currently serves as a senior member on the influential Energy and Commerce Committee, which is the oldest standing legislative committee in the House. As a member of the Subcommittee of Energy and Power, he is an advocate for an "all of the above" energy policy.

#### **SPEAKERS**

**Dr. Peter B. Lyons** was confirmed by the Senate as the Assistant Secretary for Nuclear Energy on April 14, 2011. Dr. Lyons was appointed to his previous role as Principal Deputy Assistant Secretary of the Office of Nuclear Energy in September, 2009. As Assistant Secretary, Dr. Lyons is responsible for all programs and activities of the Office of Nuclear Energy. Previously, Dr. Lyons served as Commissioner of the Nuclear Regulatory Commission (NRC) from 2005 to 2009. At the NRC, Dr. Lyons focused on the safety of operating reactors and on the importance of learning from operating experience, even as new reactor licensing and possible construction emerged.

**Dr. Gail Marcus** is an independent consultant on nuclear power technology and policy. She previously worked as Deputy Director-General of the OECD Nuclear Energy Agency (NEA) in Paris; Principal Deputy Director of the DOE Office of Nuclear Energy, Science and Technology; in various positions at the Nuclear Regulatory Commission (NRC); and as Assistant Chief of the Science Policy Research Division at the Congressional Research Service (1980-1985). Dr. Marcus has served as President of the American Nuclear Society (ANS) and as Chair of the Engineering Section of AAAS. She is the first woman to earn a doctorate in nuclear engineering in the United States.

#### About KEIA

KEIA is a not-for-profit educational organization that has a partnership with the Korea Institute for International Economic Policy (KIEP), a public corporation established by the Government of the Republic of Korea. The mission of KEIA is to strengthen all aspects of the US-Korea relationship, particularly in the area of trade and economics. Through its publications, outreach programs, and website, KEIA offers access to current information and analysis about issues that affect the bilateral relationship and Korea. Visit <a href="http://www.keia.org/">http://www.keia.org/</a> for more information.

#### **About GABI**

GABI was established in the fall of 2011 in Washington, DC to promote the dialogue and deepen the understanding between the United States and Korea on nuclear energy policy issues. In an effort to educate and expand discussion of the major issues and challenges in Korean nuclear energy, GABI organizes seminars, workshops, and conferences to bring together nuclear experts, government officials, industry leaders, and subject matter specialists for off-the-record discussions. GABI is recognized in Washington, DC as an organization specializing in US-Korea nuclear energy issues. Visit <a href="http://www.thegabi.com/">http://www.thegabi.com/</a> for more information. Also, please listen to GABI's audio podcast titled "Nuclear Energy in Korea."

Thank you for your attention and response, and we hope you can join us for the briefing on February 26th.

#### Sincerely,

Florence Lowe-Lee

President, Global America Business Institute

fll@thegabi.com, 202-499-7979







#### Trilateral Nuclear Energy Dialogue: Korea, Japan, United States

# Meeting 3: Opportunities for Trilateral Cooperation Daejeon & Gyeongju, Republic of Korea November 5-7, 2013

#### **Purpose**

Convene a high-level annual/biannual private workshop among fifteen preeminent Korean, Japanese, and American nuclear energy policy experts with the intention of fostering relationships, confidence building, and seeking areas for trilateral cooperation on regional nuclear energy issues.

Meeting 3 will be the first gathering in this series to be held outside of the United States. The meeting will focus on the updates from the previous gathering within the participating countries. The new topic that will be broached is the issue of policy media for civil nuclear cooperation, including 123 agreements. Meeting discussions will be supplemented with technical visits to Korea Atomic Energy Research Institute (KAERI), Doosan Heavy Industry, Korea's Nuclear Power Plant, and Waste Disposal Facility.

#### **Tentative Agenda**

<u>ivionday, November 4</u>	
	Check-in at Hotel Riviera Yuseong
	7 Oncheonseo-ro, Yuseong-gu, Daejeon, Korea www.shinan.co.kr/yusong/eng/index yuseong.asp
7:00 pm—9:00 pm	Opening Reception
	@
Tuesday, November 5	
8:30 am -9:00 am	Breakfast
*	@ Hotel Riviera
9:00 am— 9:15 am	Introductions and Overview
•	@ Diamond Hall, 14 <sup>th</sup> Floor, Hotel Riviera
•	Florence Lowe-Lee, President, Global America Business Institute (GABI)
•	Charles Ferguson, President, Federation of American Scientists (FAS)







9:15 am - 10:15 am

Session I - Recent Developments in Korea and the US

**Moderator:** 

Ryan Shafer, Associate Director of Program, Mansfield Foundation

**Presenters:** 

1. Charles Ferguson, President, Federation of American Scientists (FAS)

2. Soon-Heung Chang, Professor, Korea Advanced Institute of Science and

Technology (KAIST)

10:15 am - 11:45 am

Session II – Issues in the Development of Advanced Fuel Cycles

**Moderator:** 

Ron Faieish, US Senate Committee on Energy and Natural Resources

**Presenters:** 

1. Paul T. Dickman, Senior Policy Fellow, Argonne National Laboratory

2. Kanau Kawashima, Professor Emeritus, Tokai University

3. Man-Sung Yim, Professor, Korea Advanced Institute of Science and

**Technology (KAIST)** 

11:45 am-2:15 pm

**Lunch and Cultural Activities** 

@ Gyrongsan National Park

2:45 pm - 5:45 pm

**Presentation and Site Tour of KAERI** 

6:30 pm -8:00 pm

Dinner

@ Manyeong Dong Buffet in Daejon city

Wednesday, November 6

7:30 am - 8:00 am

**Breakfast** 

@ Hotel Riviera

8:30 am

**Check-out** 

8:45 am — 11:45 am

Travel to Doosan Heavy Industry via KAERI Bus

12:00 pm — 1:30 pm

Luncheon

@ Doosan Guest House

1:30 pm - 4:00 pm

**Presentation and Site Tour of Doosan Heavy Industry** 

4:00 pm - 5:30 pm

Travel to Gyeongju







6:00 pm

Check-in at Hotel Hyundai in Gyeongju

477-2 Sinpyeong-dong, Gyeongju-si, Gyeongju, Korea www.hyundaihotel.com/gyeongju\_en/index.jsp

7:00 pm-8:30 pm

Dinner

@ Hotel Hyundai Chinese Restaurant

Thursday, November 7

8:00 am-8:30 am

**Breakfast** 

@ Hotel Hyundai

8:30 am - 10:00 am

Session III - Issues of Interim Storage and Repository Siting

@ Palace Hall Room A, B2, Hotel Hyundai

**Moderator:** 

Florence Lowe-Lee, President, GABI

Presenters:

1. **Myung Jae Song**, President & CEO, Korea Radioactive Waste Agency (KORAD) (invited)

2. **Everett Redmond II**, Senior Director, Nonproliferation and Fuel Cycle Policy, Nuclear Energy Institute

3. **Tsuyoshi Maruyama,** Director, Bureau of Science and Technology Policy Ministry of Education, Culture, Sports, Science and Technology *(invited)* 

10:00 am - 11:30 pm

Session IV – Policy Coordination: 123 Agreements and Other Civil Nuclear

**Cooperation Agreements** 

**Moderator:** 

Alan Ahn, Director of Communications, GABI

Presenters:

1. Joonhong Ahn, Professor, Department of Nuclear Engineering, UC

Berkeley

2. Masakatsu Ota, Senior Feature Writer, Senior Editorial Writer, Kyodo

News

3. Kwang Seok Lee, Director, Division of Strategic and International Studies,

**KAERI** 

11:00 pm - 2:00 pm

Luncheon and Cultural Activities (Bulkuksa temple)



7:30 pm





2:00 pm - 3:00 pm	Travel to Wolsong Nuclear Power Plant
3:00 pm - 4:20 pm	Presentation and Tour of Wolsong NPP
4:20 pm -4:35 pm	Travel to Korea Radioactive Waste Agency
4:35 pm - 6:00 am	Technical Tour of Korea Radioactive Waste Agency
6:00 pm -7:00 pm	Travel to Gyeongju city

Farewell Dinner



#### INVITATION

GABI would like to invite you to the next event of its speaker/discussion series, the US-Korea Nuclear Roundtable

**Upcoming Discussion** 

# International Waste Management Efforts Current Status and Future Prospects

Guest Speaker: Timothy A. Frazier, Senior Advisor, Dickstein Shapiro LLP

When: Thursday, December 12th, 2013; Noon to 1:30 pm

Where: Global America Business Institute Conference Room - 1001 Connecticut Avenue, NW, Suite 435, Washington, DC 20036

#### Speaker Bio

Timothy A. Frazier is a senior advisor working with Dickstein Shapiro's Public Policy and Law and Energy Practices. During the course of more than 20 years with the U.S. Department of Energy, he managed nuclear programs and nuclear operations. Frazier advises on Japanese and U.S. interests on nuclear policy and technical issues stemming from the March 2011 earthquake and tsunami in Japan, and works with clients on implementing the key legislative and commercial recommendations of the Blue Ribbon Commission on America's Nuclear Future. He has an active Department of Energy "Q" clearance and is a 2007 recipient of the NASA Exceptional Public Service

Medal, Prior to joining Dickstein Shapiro, Frazier served as designated federal officer of the Blue Ribbon Commission on America's Nuclear Future. Appointed to the role by Energy Secretary Steven Chu in March 2010, Frazier was responsible for all aspects of the Commission, including providing technical support and information to Commission members. He previously served at the Department of Energy as acting director of the Office of Policy, Integration, and Communication in the Office of Nuclear Energy where he led policy support, including policy review, recommendations and implementation; identification of crosscutting issues and integration across the Office of Nuclear Energy; planning and analysis for strategic planning; as well as internal and external relations and congressional affairs. His tenure at the Department of Energy's headquarters in Washington, DC also includes work as senior technical advisor to former Assistant Secretary for Nuclear Energy Dennis R. Spurgeon; acting associate deputy assistant secretary for the Office of Fuel Cycle Management, under which the Global Nuclear Energy Partnership (GNEP) was managed; and program director of radioisotope power systems in the Office of Nuclear Energy, Frazier worked on-site for the Department of Energy's Mound Site in Dayton, Ohio as radioisotope power system program manager and nuclear weapons engineer.

RSVP: To register, please contact Alan Ahn at <u>alanahn@thegabi.com</u> or 202-499-7978. Seating is limited, so please RSVP at your nearest possible convenience. As always, the discussion is off-the-record.

We hope to have the pleasure of your participation in this roundtable.

Sincerely,

Florence Lowe-Lee

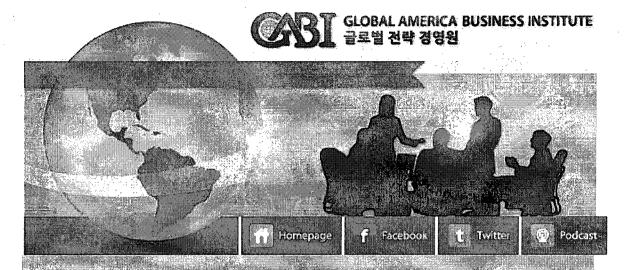
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President, GABI

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The Global America Business Institute 1001 Connecticut Avenue, NW Suite 435 Washington, DC 20036

Tel: (202) 499-7892 Fax: (202) 525-1308



#### INVITATION

GABI would like to invite you to the next event of its speaker/discussion series, the US-Korea Nuclear Roundtable

#### **Upcoming Discussion**

# Developing and Financing NPPs: Thoughts on Reputational Risk

Guest Speaker: Paul Murphy, Senior Counsel at Milbank, Tweed, Hadley & McCloy

When: Friday, January 31st, 2014; Noon to 1:30 pm

Where: Global America Business Institute Conference Room - 1001 Connecticut Avenue, NW, Suite 435, Washington, DC 20036

#### Speaker Bio

Paul Murphy is special counsel in the Washington, DC office of Milbank, Tweed, Hadley & McCloy and a member of the firm's Project Finance Group. His practice focuses on multiple aspects of the nuclear industry—from legal and policy matters, including international regulatory and treaty frameworks and issues regarding nuclear liability, to strategies for creating viable nuclear power programs and the identification and mitigation of associated risks—representing developers/owners, investors, and contractors on nuclear projects internationally. Mr. Murphy is recognized as an expert in the development and financing of nuclear power programs

by the International Atomic Energy Agency (IAEA), the OECD's Nuclear Energy Agency (NEA) and the US government. Mr. Murphy currently serves on the IAEA's Technical Cooperation Program team, which assists member states in developing civilian nuclear power programs. Mr. Murphy has served as a designated expert, chairman, and author at several special meetings and for multiple working groups of the IAEA, primarily involving the development, financing, and structuring of nuclear power projects. He continues to work with the IAEA in a number of key areas, including a current revision of the IAEA's *Handbook on Nuclear Law*. Mr. Murphy currently serves as a two-time appointee to the US Secretary of Commerce's Civilian Nuclear Trade Advisory Committee, and he chaired its Finance subcommittee for the last two years. In addition, Mr. Murphy recently served as the US Government's sole representative on an NEA working group on "Financing of Nuclear Power Plants," acting as chairman for the working group. Mr. Murphy also chaired the IAEA working group that issued, "Issues to Improve the Prospects of Financing Nuclear Power Projects."

RSVP: To register, please contact Alan Ahn at <u>alanahn@thegabi.com</u> or 202-499-7978. Seating is limited, so please RSVP at your nearest possible convenience. As always, the discussion is off-the-record.

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President, GABI

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#### INVITATION

GABI would like to invite you to the next event of its speaker/discussion series, the US-Korea Nuclear Roundtable

**Upcoming Discussion** 

# The Future of US-ROK Civil Nuclear Cooperation: Possible Directions and Mutual Interests

Guest Speaker: Seung Koo Lee, President of the Korea Academy of Nuclear Safety and Former Vice Minister of the ROK Ministry of Science and Technology

When: Thursday, April 10th, 2014; Noon to 1:30 pm

Where: Global America Business Institute Conference Room - 1001 Connecticut Avenue, NW, Suite 435, Washington, DC 20036

#### Speaker Bio

Seung Koo Lee is President of the Korea Academy of Nuclear Safety and President of the Alumni Association of the Korean Ministry of Science and Technology. From 2003 2008, he served as President of the Korea Scientists and Engineers Mutual-Aid Association. From 1972-2003, he served as an official in the Ministry of Science and Technology in various positions--Director General of the Atomic Energy Bureau and

Science & Technology Policy Bureau, President of the National Central Science Museum, and Vice Minister. He is a graduate of Hanyang University, where he majored in Metallurgical Engineering.

RSVP: To register, please contact Alan Ahn at <u>alanahn@thegabi.com</u> or <u>202-499-7978</u>. Seating is limited, so please RSVP at your nearest possible convenience. As always, the discussion is off-the-record.

We hope to have the pleasure of your participation in this roundtable.

Sincerely,

Florence Lowe-Lee

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President, GABI

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#### INVITATION

GABI would like to invite you to the next event of its speaker/discussion series, the US-Korea

Nuclear Roundtable

#### **Upcoming Discussion**

## Accelerator Driven Subcritical Reactors for Improved Safety, Waste Management, and Profitable Plutonium Disposition

**Guest Speakers:** Rolland Johnson, President of Muons, Inc., and Charles Bowman, President of ADNA Corporation

When: Tuesday, April 29th, 2014; Noon to 1:30 pm

Where: Global America Business Institute Conference Room - 1001 Connecticut Avenue, NW, Suite 435, Washington, DC 20036

#### **Speaker Bios**

**Dr. Rolland Johnson** has been actively involved in particle accelerator research and development for over 40 years. He has directed several successful accelerator R&D, construction, and commissioning projects. He has also directed many software projects at Fermilab, CAMD, and CEBAF, in addition to providing technical oversight to several SBIR grants while on detail to US DOE. Dr. Johnson has extensive experience in the area of beam cooling, having participated in the commissioning and improvement programs of the CERN Antiproton Accumulator as well as the design of the Fermilab TeV I project. Besides work on methods to increase the proton flux for better muon production as seen in the Proton Driver Design Report and The Linac Afterburner Proposal, he has worked on improving ionization cooling. As the founder, president, and chief scientist at Muons, Inc., he has been the PI on several SBIR-STTR grants.

**Dr. Charles Bowman** is President of the Accelerator-Driven Neutron Applications (ADNA) Corporation. He is a fellow of the American Physical Society and the Los Alamos National Laboratory (LANL). He led neutron source developments and their science application at Lawrence Livermore National Laboratory, the National Institute of Standards and Technology, and LANL. Dr. Bowman was the first construction project manager for the Manuel Lujan Neutron Scattering Center at Los Alamos, and assembled the Weapons Neutron Research group at the Los Alamos Neutron Science Center (LANSCE). He devised means to provide the proton beam concurrently to both facilities, enabling LANSCE to become the world's most versatile

facility for neutron science. His last project at LANL was the origination and leadership of Accelerator Transmutation of (nuclear) Waste. He formed Accelerator-Driven Neutron Applications (ADNA) Corporation in 1997 to devote full attention to optimizing the benefits to nuclear energy generation of supplementing fission neutrons with neutrons from accelerators.

**RSVP:** To register, please contact Alan Ahn at <u>alanahn@thegabi.com</u> or 202-499-7978. Seating is limited, so please RSVP at your nearest possible convenience. As always, the discussion is off-the-record.

We hope to have the pleasure of your participation in this roundtable.

Sincerely,

Florence Lowe-Lee

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President, GABI

# USNIC-GABI Technical Workshop: An In-depth Look at Spent Nuclear Fuel Management

#### ADVANCE PROGRAM

#### Tuesday, March 18th

00.00	Constituental Dural Cons
08:30 - 09:00	Continental Breakfast
09:00 - 09:15	Welcome & Overview
	David Blee, Executive Director, Nuclear Infrastructure Council
*	Florence Lowe-Lee, President, Global America Business Institute
09:15 - 11:15	Session I - Used Fuel Interim Storage Solutions: Global Viewpoints
	U.S. Perspective: American Spent Fuel Management: Market & Policy Overview
	David Blee, Executive Director, Nuclear Infrastructure Council
	South Korean Perspective: An Industry View on the Backend Paradigm
	Dr. Chul-Hyung Kang, Vice Chairman, KORAD
	Industry Experience: Interim Storage Design and Transportation: Solving the Equation  • Jayant Bondre, Chief Operating Officer, AREVA TN Americas
11:15 - 11:30	Coffee Break
11:30 - 12:30	Session II - Regulatory Considerations
	U.S. Regulatory & Spent Fuel Management Perspective  • Earl Easton, Former Senior Policy Advisory, USNRC (invited)
	Dry Storage Licensing Process in Korea: Regulations and Guidelines
	Dr. Jong-won Choi, Director, Radioactive Waste Technology Development,
	Korea Atomic Energy Research Institute (KAERI)
12:30 - 13:30	Lunch
	Keynote Speaker:
	Dr. Jong In Lee, Chairman, KORAD
13:30 - 15:00	Session III - Fabrication & Transportation Quality
	Quality Cask Fabrication: A Key to Success
	Peter Quinlan, Engineering Consultant and Fabrication Specialist
	Transportation and Logistics: A Critical Element
	Yukari Tanaka, Manager, Planning Department, Nuclear Fuel Transport (NFT)
	Ylan Toubol, Business Manager, head of Export Projects Unit / Back-End
·	Transport Business Line, AREVA TN
	Development of KN-18 Transport Cask for PWR Spent Nuclear Fuel

	Dr. Sunghwan Chung, KHNP Central Research Institute
15:00 - 15:15	Coffee Break
15:15 - 16:45	Session IV - Perspective of the End-Users
	U.S. Utility: Dry Storage Successful Solutions
	U.S. Utility Representative (invited)
	European Centralized Storage Facility: Perspective on Dry Storage
·	Raphael Spuler, Storage and Transportation Operations Manager, Zwilag, Interim Storage Facility, Switzerland
16:45 - 17:30	Session V - Dry Fuel Storage: Technical Imperatives
	Full Scale High Burn-Up Project
	John Kessler, Manager, Used Fuel and High-Level Radioactive Waste, EPRI (invited)
17:45 - 20:00	Reception and Dinner
	Keynote Speaker:
	Dr. Soon-heung Chang, President, Handong University (invited)

#### Wednesday, March 19

#### Tour of Sedae Enertech, Gunsan, Korea

Sedae Enertech Corporation's (SEC, http://eng.sedae.co.kr) is a Korean fabricator with experience in the fabrication of used fuel casks for the U.S. and Korea. The presentation and shop tour will feature the current fabrication of canisters dedicated to Korean used fuel.

The tour will include presentations from Kobe Steel Ltd (Japan), who teams with SEC for the fabrication of used fuel casks and canisters. Kobe Steel Ltd is the most experienced fabricator in Asia with more than 270 packages of spent and MOX fuel delivered.

#### **Agenda**

07:30 - 11:00	Limousine bus trip from Seoul Novotel Hotel to Gunsan (Catered morning snack served aboard.)
11:00 - 11:30	SEC Welcome and company presentation
11:30 - 12:30	Kobe Steel presentations about casks and canisters for spent and MOX fuel
12:30 - 13:45	Lunch
13:45 - 15:00	Shop Tour showcasing manufacturing of heavy casks for Korean spent fuel
15:00 - 18:00	Return limousine bus from Gunsan to Seoul Novotel Hotel

The Global America Business Institute and the Korea Economic Institute of America proudly present:

# Forum on US and International Nuclear Energy Policy

#### When

Wednesday, February 26th

8:30 am to 9:30 am

#### Where

Room B-338 Rayburn House Office Building

Washington, DC





In collaboration with the Korea Economic Institute of America (KEIA), the Global America Business Institute (GABI) cordially invites you to a briefing on:

## The Role of Nuclear in a Diverse Energy Portfolio

Wednesday, February 26th 8:30 am - 9:30 am B-338 Rayburn House Office Building Washington, DC

Continental breakfast will be provided

Nuclear power plays critical roles in providing reliable electricity, reducing and stabilizing energy costs, mitigating carbon emissions and air pollution,